

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Steven Shepley, et al.  
For (title): Cash Dispensing Automated Banking Machine Diagnostic System And Method

**1. Type of Application**

This transmittal is for a continuation-in-part application.

**2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)**

The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

---

**CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\***  
(When using *Express Mail*, the *Express Mail* label number is **mandatory**;  
*Express Mail* certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

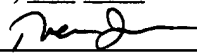
☒ deposited with the United States Postal Service in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
**37 C.F.R. Section 1.8(a)** **37 C.F.R. Section 1.10\***

☐ with sufficient postage as first class mail. ☒ as "Express Mail Post Office to Address"  
Mailing Label No. EV397095965US  
(mandatory)

**TRANSMISSION**

☐ transmitted by facsimile to the Patent and Trademark Office (703) \_\_\_\_-\_\_\_\_.

Date: 11-25-2003

  
Signature

Ralph E. Jocke  
(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).  
"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

**3. Papers Enclosed**

**A.** Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

95 Pages of Specification

8 Pages of Claims

32 Sheets of Drawing(s)

**B.** Other Papers Enclosed

1 Page of Abstract

5 Pages of Application Data Sheet

1 Pages of Non-Publication Request

**4. Declaration or Oath**

Not Enclosed.

**5. Inventorship Statement**

The inventorship for all the claims in this application is not the same.

**6. Language**

English

**7. Assignment**

An assignment of the invention to Diebold Self-Service Systems, Division of Diebold, Incorporated will follow.

**8. Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

| CLAIMS AS FILED                                      |              |                     |              |          |                                   |
|--|--------------|---------------------|--------------|----------|-----------------------------------|
| Claims   | Number Filed | Basic Fee Allowance | Number Extra | Rate     | Basic Fee 37 CFR 1.16(a) \$770.00 |
| Total Claims (37 CFR 1.16(c))                        | 26           | - 20 =              | 6 x          | \$18.00  | \$108.00                          |
| Independent Claims (37 CFR 1.16(b))                  | 2            | - 3 =               | 0 x          | \$86.00  | \$0.00                            |
| Multiple Dependent Claim(s), if any (37 CFR 1.16(d)) |              |                     | +            | \$290.00 | \$0.00                            |
| Filing Fee Calculation                               |              |                     |              |          | \$878.00                          |

**9. Fee Payment Being Made at This Time**

Not Enclosed.

No filing fee is to be paid at this time.

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION CLAIMED**

**10. Relate Back**

**A. 35 U.S.C. Section 119(e)**

This application claims the benefit of U.S. Provisional Application Nos.:

| <b>APPLICATION NO.</b> | <b>FILING DATE</b>  |
|------------------------|---------------------|
| 60/429,249             | November 25, 2002;  |
| 60/429,250             | November 25, 2002;  |
| 60/429,476             | November 26, 2002;  |
| 60/429,521             | November 26, 2002;  |
| 60/429,528             | November 26, 2002;  |
| 60/453,370             | March 10, 2003; and |
| 60/465,733             | April 25, 2002.     |

**B. 35 U.S.C. § 120, 121 and 365(c)**

This application is a continuation-in-part application of copending Application Serial Number 09/863,911 filed on May 23, 2001.

The nonprovisional application designated above, namely Application Serial Number 09/863,911 filed on May 23, 2001 claims the benefit of U.S. Provisional Application Number 60/207,043 filed May 25, 2000.



Ralph E. Jocke  
Registration No. 31,029  
231 South Broadway  
Medina, Ohio 44256  
Phone: 330-721-0000  
Fax: 330-722-6446  
Customer No. 28995

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Steven Shepley, et al.** )  
 )  
 Serial No.: **(unknown)** )  
 )  
 Filed: **Herewith** )  
 )  
 For: **Cash Dispensing Automatic** )  
**Banking Machine With** )  
**Enhanced Service Capability** )

Non-publication Request Pursuant to 37 C.F.R. § 1.213

**Mail Stop Patent Application**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

THE ABOVE REFERENCED APPLICATION FILED HERewith IS NOT TO BE  
 PUBLISHED UNDER 35 U.S.C. § 122(b).

It is hereby certified that the invention disclosed in the above-referenced application has not been and (unless this non-publication request is first rescinded in accordance with 37 C.F.R. § 1.213(b)) will not be the subject of an application filed in another country, or filed under a multilateral agreement, that requires publication of the application at eighteen (18) months after filing.

Respectfully submitted,




---

Ralph E. Jocke      Reg. No. 31,029  
 231 South Broadway  
 Medina, Ohio 44256  
 (330) 722-5143  
 Customer No. 28995